

Algebraic function fields

Exercise sheet 1: Algebraic preliminaries

Exercise 1.1. Algebraically closed rings are infinite ✿

Let A be an algebraically closed ring, i. e. every monic polynomial of positive degree has a zero. Let $x_1, \dots, x_n \in A$. Show that there is an element $y \in A$ which is apart from all the x_i .

Note. Elements $x, y \in A$ are (strongly) apart iff $x - y$ is invertible.

Exercise 1.2. Infinitude of the irreducible polynomials

Let k be a field. Let $f_1, \dots, f_n \in k[X]$ be irreducible polynomials. Assuming that every nonzero polynomial can be factored into irreducible polynomials, show that there is an irreducible polynomial distinct from the given f_i .

Hint. Adapt Euclid's proof of the infinitude of the primes.

Exercise 1.3. Vanishing of polynomials ✿

- (a) Let A be a ring. Let $f \in A[X]$ be a polynomial of degree $\leq n$. Show: If f has $n + 1$ pairwise weakly apart zeros, then $f = 0$.

Note. Elements $x, y \in A$ are weakly apart iff $x - y$ is regular. An element $u \in A$ is called regular if and only if $uv = 0$ implies $v = 0$ for all $v \in A$.

- (b) Let A be an infinite integral domain. Let $f \in A[X_1, \dots, X_n]$. Suppose $f(x_1, \dots, x_n) = 0$ for all $x_1, \dots, x_n \in A$. Show that $f = 0$.

Note. That A is infinite means that given elements $a_1, \dots, a_n \in A$, there is always a distinct element $b \in A$.

Hint. Write f as a polynomial in X_n over $A[X_1, \dots, X_{n-1}]$ and use induction and (a).

- (c) Mine your proof of (b) to give a more quantitative version: How many and which zeros does a multivariate polynomial need to have in order for it be the zero polynomial?

Exercise 1.4. Examples for irreducible polynomials

Which of the following polynomials over a field k are irreducible? Do the answers depend on k ?

- (a) $Y - X^2$
- (b) $XY - 1$
- (c) $X^2 + Y^2$

Note. A regular element f is irreducible iff $f \sim g_1 \cdots g_n$ implies $f \sim g_i$ for some i , where $a \sim b$ ("a and b are associated") means that $a = ub$ for some unit u . *Hint.* Use $k[X, Y] \cong (k[X])[Y]$.

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Exercise sheet 2: Algebraic sets

Exercise 2.1. Examples for algebraic sets

Let k be a field.

- Show that the *twisted cubic* $C = \{(t, t^2, t^3) \mid t \in k\} \subseteq \mathbb{A}_k^3$ is algebraic. Can you describe it using only quadratic equations?
- Observe that the set $\{M \in k^{n \times n} \mid M^t M = E_n\}$ of orthogonal matrices is algebraic.
Note. We identify $k^{n \times n}$ with k^{n^2} .
- Show that the set $\mathbb{A}_k^2 \setminus \{(0, 0)\}$ is not algebraic, if k is infinite.

Exercise 2.2. On the size of algebraic sets

Let k be a field.

- Show that every finite subset $\{x_1, \dots, x_r\} \subseteq \mathbb{A}_k^n$ of r distinct points is algebraic.
- Show that at most \mathbb{A}_k^1 itself is an infinite algebraic subset of \mathbb{A}_k^1 .
Note. Similar to Exercise 1.3(c), there is also a quantitative version of this result.
- For a suitable choice of k , give an example for a countable union of algebraic sets which is not algebraic.

Exercise 2.3. Lines intersecting curves

Let k be a field. Let $C = V(f) \subseteq \mathbb{A}_k^2$ be an affine plane curve, where $f \in k[X, Y]$ is a polynomial of degree $\leq n$. Let $L \subseteq \mathbb{A}_k^2$ be a line. Show: If $C \cap L$ contains more than n points, then $L \subseteq C$.

Hint. Suppose $L = V(Y - (aX + b))$ and consider $f(X, aX + b) \in k[X]$.

Exercise 2.4. A first exercise in fibered thinking

Let k be an infinite field. Let $f \in k[X_1, \dots, X_n]$.

- Let $n \geq 1$. Show: If $D(f)$ is inhabited at all, then $D(f)$ is infinite.
- Let $n \geq 2$. Let k be algebraically closed with decidable equality. Show that $V(f)$ is infinite, if f is of positive degree.

Note. Recall that a set X has *decidable equality* iff for all $x, y \in X$, either $x = y$ or $x \neq y$. Anonymously—disregarding algorithmic implementability and stability in families—every set has decidable equality.

Exercise 2.5. Discriminating functions

Let k be a field. Let $M \subseteq \mathbb{A}_k^n$ be an algebraic set.

- Let $p \notin M$. Show that there is a polynomial $f \in I(M)$ with $f(p) = 1$.
- Let $p_1, \dots, p_r \notin M$ be distinct points. Find polynomials $f_1, \dots, f_r \in I(M)$ with $f_i(p_j) = \delta_{ij}$.

Note. The Kronecker delta δ_{ij} is 1 if $i = j$, and 0 otherwise.

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Exercise sheet 3: Varieties

Exercise 3.1. Examples for varieties

Let k be an infinite field which is also an integral domain.

- (a) Verify that $I(V(Y - X^2)) = (Y - X^2)$. Conclude that $V(Y - X^2) \subseteq \mathbb{A}_k^2$ is irreducible.
- (b) Assuming that $2 \neq 0$ in k , decompose $V(Y^4 - X^2, Y^4 - X^2Y^2 + XY^2 - X^3) \subseteq \mathbb{A}_k^2$ into irreducible components.
- (c) Determine $I(C)$ where C is the twisted cubic of Exercise 2.1(a) and verify that C is irreducible.
- (d) Determine $I(\mathbb{A}_K^1)$ and decompose \mathbb{A}_K^1 into irreducible components in the case $K = \mathbb{F}_p$.

Exercise 3.2. On the intersection of plane curves

Let k be a field with decidable equality. Let $f, g \in k[X, Y]$ be polynomials of positive degree with $\gcd(f, g) = 1$.

- (a) Find polynomials $d \in k[X], e \in k[Y]$ of positive degree such that $V(f, g) \subseteq V(d) \times V(e)$.
Note. The following algebraic preliminaries are useful. As k has decidable equality, so has $k(X)$ and hence $k(X)[Y]$ is a Bézout ring. By a lemma of Gauß, we have $\gcd(f, g) = 1$ not only in $k[X][Y]$ but also in $k(X)[Y]$.
- (b) Conclude that there is a number $r \in \mathbb{N}$ such that it is not the case that $V(f, g)$ contains more than r distinct points.

Exercise 3.3. The many forms of the affine line

Let k be an algebraically closed field with decidable equality. Which of the following algebraic sets are isomorphic to \mathbb{A}_k^1 ?

- | | |
|---|---|
| (a) $V(Y - X^2) \subseteq \mathbb{A}_k^2$ | (c) $V(XY - 1) \subseteq \mathbb{A}_k^2$ |
| (b) $C \subseteq \mathbb{A}_k^3$ from Exercise 2.1(a) | (d) $V(Y^2 - X^3) \subseteq \mathbb{A}_k^2$ |

Exercise 3.4. Functions on the point

Let k be a field. Let $M \subseteq \mathbb{A}_k^n$ be an algebraic set. Consider the following statements:

- (1) $M = \{p\}$ for some point $p \in \mathbb{A}_k^n$.
- (2) The canonical morphism $k \rightarrow \Gamma M$ is an isomorphism.
- (3) The k -vector space ΓM is finitely generated.

Prove:

- (a) (1) \Rightarrow (2) \Rightarrow (3).
- (b) (2) \Rightarrow (1).
- (c) (3) \Rightarrow (2), if k is algebraically closed and an integral domain and if M is irreducible.

Hint (Cayley–Hamilton). Let A be a ring. Let B be an A -algebra which is generated as an A -module by d elements. Let $u \in B$. Then there is a monic polynomial $f \in A[X]$ of degree d such that $f(u) = 0$.

- (d) (3) \Rightarrow (1), if k is an integral domain and M is irreducible.

Hint. Show that it is impossible for M to be infinite, by using the following result of the class: If an algebraic set V contains r distinct points, then there is a linearly independent family of r vectors in ΓV .

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Exercise sheet 4: Noether normalization

Exercise 4.1. Examples for finite and non-finite maps

Which of the following ring homomorphisms mapping f to $[f]$ are finite? Provide proofs.

- (a) $k[X] \rightarrow k[X, Y]/(Y - X^2)$
- (b) $k[X] \rightarrow k[X, Y]/(X - Y^2)$
- (c) $k[X] \rightarrow k[X, Y]/((Y - X)(Y + X))$
- (d) $k[X] \rightarrow k[X, Y]/(XY)$
- (e) $k[X] \rightarrow k[X, Y]/(XY - 1)$

Hint. In order to form an opinion of the morphisms being finite, it may be useful to picture them geometrically and check whether the fibers are finite. For instance, the first morphism corresponds to the projection map $V(Y - X^2) \rightarrow \mathbb{A}_k^1, (x, y) \mapsto x$.

Exercise 4.2. A concrete Noether normalization

Let k be a field. Find a finite injective k -algebra homomorphism

$$k[T] \longrightarrow k[X, Y]/(XY - 1)$$

and interpret your result geometrically.

Exercise 4.3. Linear substitutions suffice

Let k be an infinite field with decidable equality. Let $f \in k[X_1, \dots, X_n, T]$ be a polynomial in which T actually occurs. Show that there exist numbers $\mu, \lambda_1, \dots, \lambda_n \in k$ such that the polynomial $\mu f(X_1 + \lambda_1 T, \dots, X_n + \lambda_n T, T)$ is monic of positive degree in T .

Hint. Write f as the sum of its homogeneous components and consider the highest-degree component.

Exercise 4.4. First steps with integral extensions

- (a) Let A be a ring. Let $x \in A$ such that $x^2 - 3x + 1 = 0$. Let $y \in A$ such that $y^2 + 5y - 2 = 0$. Find a monic polynomial $f \in \mathbb{Z}[T]$ such that $f(x + y) = 0$.
- (b) Let $A \subseteq B$ be an integral ring extension (i. e. A is a subring of B such that every element of B is the zero of a monic polynomial with coefficients from A). Let $x \in A$. Show: If x is invertible in B , then also in A .

Exercise 4.5. The strong and the weak Nullstellensatz

- (a) Let A be a ring. Show that a polynomial $f = \sum_i a_i T^i \in A[T]$ is invertible iff $a_0 \in B$ is invertible and a_1, a_2, \dots are nilpotent.

Note. The direction " \Rightarrow " admits a direct elementary proof, but it might be simpler to employ Krull's theorem: To verify that an element is nilpotent, show that it is contained in all prime ideals. In this form Krull's theorem is not constructive; this drawback can be healed by using the *generic prime ideal*.

- (b) Let $\mathfrak{a} \subseteq A$ be an ideal in a ring A . Let $g \in A$. Let $\mathfrak{b} := \mathfrak{a}[T] + (1 - gT) \subseteq A[T]$. Prove: $g \in \sqrt{\mathfrak{a}} \iff 1 \in \mathfrak{b}$.
- (c) Let $n \in \mathbb{N}$. Let A be a ring such that

$$1 \in \mathfrak{b} \iff V(\mathfrak{b}) \text{ inhabited}$$

for all finitely generated ideals $\mathfrak{b} \subseteq A[X_1, \dots, X_n, T]$. Show that

$$g \in \sqrt{\mathfrak{a}} \iff V(\mathfrak{a}) \cap D(g) \text{ inhabited}$$

for all finitely generated ideals $\mathfrak{a} \subseteq A[X_1, \dots, X_n]$ and all polynomials $g \in A[X_1, \dots, X_n]$.

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Exercise sheet 5: Valuation rings

Exercise 5.1. The Smith normal form over valuation rings

A valuation ring is a ring in which, for any two elements, one divides the other.

- (a) Show that a nontrivial ring is a valuation ring if and only if it is a local Bézout ring.

Note. A Bézout ring is a ring in which every finitely generated ideal is principal. A local Bézout ring is a Bézout ring which is also a local ring.

Let A be a valuation ring.

- (b) Show that, for any finite family of elements of A , one is a divisor of all the others.
- (c) Let $P \in A^{n \times m}$ be a matrix. Show using elementary row and column transformations that P is equivalent to a diagonal matrix
- (d) Let M be a finitely presented A -module. What can you say about M in view of part (b)?

Note. An A -module is finitely presented iff it is isomorphic to the cokernel of a matrix over A .

Exercise 5.2. Everywhere defined rational functions

Let X be a variety over a field k which is also a Nullstellensatz ring. Verify the following identity of subsets of $k(X)$:

$$\Gamma(X) = \bigcap_{p \in X} \mathcal{O}_p \cap \{f \in k(X) \mid J_f \text{ is finitely generated}\}.$$

Exercise 5.3. Characterizing discrete valuation domains

Let A be an integral domain. Show that the following are equivalent:

- (1) A is Noetherian, local, not a field, the maximal ideal $\mathfrak{m} = A \setminus A^\times$ is principal and invertibility of regular elements is decidable.
- (2) There is an irreducible element $t \in A$ such that every regular element $x \in A$ can uniquely be written in the form $x = ut^n$ for some unit $u \in A^\times$ and some number $n \geq 0$.
- (3) There is a noninvertible element $t \in A$ such that every regular element $x \in A$ can be written in the form $x = ut^n$ for some unit $u \in A^\times$ and some number $n \geq 0$.

Note. We say that invertibility of an element x is decidable iff x is invertible or not invertible.

Exercise 5.4. Examples for discrete valuation domains

Let k be a field with decidable equality.

- (a) Show that $\mathcal{O}_\infty := \{f/g \in k(X) \mid \deg(g) \geq \deg(f)\}$ is a discrete valuation domain.
- (b) Show that the power series ring $k[[X]]$ is a discrete valuation domain.

Specify in both cases a uniformizing parameter.

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Exercise sheet 6: Normal varieties, primitive elements, synthetic language

Exercise 6.1. Normalizing the cuspidal cubic

Let k be a field. Let $A = k[X, Y]/(Y^2 - X^3)$.

- Show that A is an integral domain.
- Show that $t := Y/X \in \text{Frac}(A)$ is integral over A .
- Write X and $Y \in \text{Frac}(A)$ as polynomial expressions in t .
- Construct an injective k -algebra homomorphism $A \hookrightarrow k[T]$.
- Show that the integral closure of A in $\text{Frac}(A)$ is $k[t]$.

Exercise 6.2. Examples for integrally closed domains

Let A be an integral domain.

- Let $S \subseteq A \setminus \{0\}$ be a multiplicative subset. Show: If A is integrally closed, so is $A[S^{-1}]$.
- Show: If A is also a valuation ring in the sense of Exercise 5.1, then A is integrally closed.

Note. An integral domain B is *integrally closed* if the only elements of $\text{Frac}(B)$ which are integral over B are the elements of B .

Exercise 6.3. An advanced primitive element theorem in positive characteristic

Let $L | E$ be a finite field extension in characteristic p . Assume $[E : E^p] = p^m$. Show that there are elements $z_1, \dots, z_m \in L$ such that $L = E(z_1, \dots, z_m)$.

Note. If $L | E$ would be assumed to be separable, then the standard form of the primitive element theorem would guarantee the existence of a single element $z \in L$ with $L = E(z)$. However L is not assumed to be separable over E .

Exercise 6.4. Exploring the duality axiom of synthetic algebraic geometry

Show that the duality axiom in synthetic algebraic geometry implies the following consequences.

- Let $f_1, \dots, f_r \in R[X]$ by polynomials with no common zero. Then $1 \in (f_1, \dots, f_r)$.
- Let $x \in R$ be not invertible. Then x is nilpotent.
- Let $f \in R[X]$ be monic of positive degree. Then it is impossible for f to have no zero.
- Let $x_1, \dots, x_n \in R$. There it is not the case that there is no $y \in R$ apart from all x_i .

Note. Recall that the ring R in synthetic algebraic geometry fulfills the following duality axiom: For every finitely presented R -algebra A , the canonical R -algebra homomorphism $A \rightarrow R^{\text{Spec}(A)}$, $s \mapsto (\alpha \mapsto \alpha(s))$ is an isomorphism, where $\text{Spec}(A)$ is the set of R -algebra homomorphisms $A \rightarrow R$ and $R^{\text{Spec}(A)}$ is the set of all maps from $\text{Spec}(A)$ to R .

Exercise 6.5. On the scope of Barr's theorem

Show that the following notions can be formalized as sets of geometric implications.

- a field with decidable equality over the signature $(K, 0, 1, +, -, \cdot)$
- like (a), but also algebraically closed
- like (a), but also perfect
- like (a), but together with a specified subfield E , over the signature $(K, 0, 1, +, -, \cdot, _ \in E)$
- like (d), but with K algebraic over E
- like (d), but with K separable over E
- like (d), but with the condition $K = E(a, b)$, over the signature $(K, 0, 1, +, -, \cdot, _ \in E, a, b)$
- like (g), but with the condition that $\exists z \in K. K = E(z)$

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Exercise sheet T: Midterm test

Let $\bar{\mathbb{Q}}$ be the subring $\{z \in \mathbb{C} \mid z \text{ algebraic over } \mathbb{Q}\}$ of the complex numbers, also known as the field of algebraic numbers. *All exercises give the same number of points. Good luck!*

Exercise T.1. True or false?

Decide which of the following assertions are correct. Justify your answers briefly.

- (a) Let k be a field. Then the affine line \mathbb{A}_k^1 is a variety.
- (b) Let M be an algebraic subset of \mathbb{A}_k^1 over a field k . Assume that M contains infinitely many points. Then $M = \mathbb{A}_k^1$.
- (c) Let k be a field. Then the ring homomorphism $k[X] \rightarrow k[X, Y]$, $X \mapsto X$ is finite.
- (d) Let $n \in \mathbb{N}$. Let X be an algebraic subset of $\mathbb{A}_{\bar{\mathbb{Q}}}^n$ with coordinate algebra isomorphic to $\bar{\mathbb{Q}} \times \bar{\mathbb{Q}}$. Then X is irreducible.

Exercise T.2. Varieties

Decompose the algebraic set

$$V((X^2 - 1) \cdot (Y^2 - 1)^2) \subseteq \mathbb{A}_{\bar{\mathbb{Q}}}^2$$

over $\bar{\mathbb{Q}}$ into irreducible components.

Note. Do not forget to verify that your proposed components are indeed irreducible.

Exercise T.3. Noether normalization

Let k be a field. Find a finite injective k -algebra homomorphism

$$k[T] \longrightarrow k[X, Y]/(XY)$$

and prove that it is indeed finite and injective.

Exercise T.4. Singleton sets

Let k be an algebraically closed field with decidable equality.

- (a) Let B be a k -algebra which is a field. Let $r \in \mathbb{N}$. Assume that there exists a finite injective k -algebra morphism $\varphi : k[X_1, \dots, X_r] \rightarrow B$. Show that $r = 0$ and that φ is an isomorphism.
- (b) Let $M = V(I) \subseteq \mathbb{A}_k^n$ be an algebraic set. Assume that the coordinate algebra ΓM is a field. Prove that M contains exactly one point.

Exercise T.5. Transcendental subextensions of function fields

Let L be a function field in one variable over a field K . Prove that an element $x \in L$ is transcendental over K if and only if L is finitely generated as a $K(x)$ -vector space.

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Exercise sheet 8: Places

Exercise 8.1. The places of the rational function field

Let k be a field. Prove, using classical logic, that every valuation ring of $k(T)$ is of the form \mathcal{O}_p for a monic irreducible polynomial $p \in k[T]$ or of the form \mathcal{O}_∞ .

Exercise 8.2. Separating generators

Let K be a perfect field. Let F be a function field in one variable over K .

- (a) Prove that $[F : F^p] = p$.
- (b) Show that there is an element $u \in F$ such that $F | K(u)$ is finite and separable. [Not easy]

Exercise 8.3. The generic valuation ring

Let $L | K$ be an extension of fields with decidable equality. On the set of finite subsets of L^\times , we define a binary relation

$$\{y_1, \dots, y_m\} \vdash \{x_1, \dots, x_n\}$$

iff $x_1^{-1}q_1 + \dots + x_n^{-1}q_n = 1$ in L for some elements $q_1, \dots, q_n \in K[x_1^{-1}, \dots, x_n^{-1}, y_1, \dots, y_m]$. To cut down on line noise, we often omit curly braces in the notation.

- (a) Prove for all finite sets $A, B \subseteq L^\times$: If $A \cap B$ is inhabited, then $A \vdash B$.
- (b) Prove for all finite sets $A, A', B, B' \subseteq L^\times$: If $A \cap B$, then also $(A \cup A') \vdash (B \cup B')$.
- (c) Prove for all $x \in L^\times$ that $\vdash x$ iff x is algebraic over K .
- (d) Prove that $y_1, \dots, y_m \vdash x_1, \dots, x_n$ if and only if there are numbers $k_1, \dots, k_n \in \mathbb{N}$ and a polynomial $p \in K[y_1, \dots, y_m][X_1, \dots, X_n]$ where all terms have degree less than (k_1, \dots, k_n) (for the product ordering) such that $x_1^{k_1} \dots x_n^{k_n} = p(x_1, \dots, x_n)$ in L .
- (e) Learn about the *resultant* to prove for all finite sets $A, B \subseteq L^\times$ and for all elements $y \in L^\times$: If $A \vdash (\{y\} \cup B)$ and $(A \cup \{y\}) \vdash B$, then $A \vdash B$.
- (f) Prove for all $x, y \in L^\times$:
 1. $\vdash x, y$, if $xy = x + y$
 2. $\vdash x, x^{-1}$
 3. $x \vdash -x$
 4. $x, y \vdash xy$
- (g) Prove—using facts about valuation rings established in classical mathematics—that $y_1, \dots, y_m \vdash x_1, \dots, x_n$ iff for every valuation ring $K \subseteq \mathcal{O} \subsetneq L$:

$$\text{If } y_1 \in \mathcal{O} \wedge \dots \wedge y_m \in \mathcal{O}, \text{ then } x_1 \in \mathcal{O} \vee \dots \vee x_n \in \mathcal{O}.$$

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Exercise sheet 9: Divisors

Exercise 9.1. *The genus of the rational function field*

Let K be a field. Prove that the genus of $K(T)$ is zero.

Hint. Apply Riemann's theorem to the divisor rP_∞ for a suitably chosen number $r \in \mathbb{N}$.